



**SMITHERS**  
QUALITY ASSESSMENTS

## CERTIFICATE OF APPROVAL

This is to Certify that the Quality Management System of:

### **KSM Metal Fabrication**

104 Foss Way  
Troy, OH 45373

has been assessed to and approved in accordance with the requirements of AS9104/1:2012-01 by Smithers Quality Assessments, an accredited Aerospace Registration Management Program Certification Body, under the ICOP scheme, to the following quality management system standards and requirements:

### **AS9100:2016**

The Single Site Certification Structure Quality Management System is Applicable to:  
Manufacturer, assembler, and welder of formed metal products for various industries.

Approval  
Certificate Number: 18.138.1

Original Approval: May 18, 2018

Current Certificate: May 18, 2018

Certificate Expires: May 17, 2021



*The use of the accreditation mark indicates accreditation in respect of those activities covered by the above certificate number.*

  
on behalf of SQA - J. Michael Hochschwender, CEO

The approval is subject to the company maintaining its system to the required standards which will be monitored by Smithers Quality Assessments, Inc., 121 S. Main St. Suite 300, Akron, Ohio 44308, USA





# SMITHERS

## QUALITY ASSESSMENTS

### CERTIFICATE OF APPROVAL

This is to Certify that the Quality Management System of:

**KSM Metal Fabrication**

104 Foss Way  
Troy, OH 45373

has been assessed and approved by Smithers Quality Assessments, Inc., to the following quality management system standards and requirements:

### ISO 9001:2015 without Design

The Quality Management System is Applicable to:

Manufacturer, assembler, and welder of formed metal products for various industries.

Approval  
Certificate Number: 16.354.1

Original Approval: December 19, 2016

Current Certificate: May 18, 2018

Certificate Expires: May 17, 2021



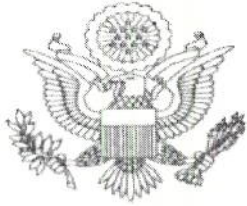
*The use of the accreditation mark indicates accreditation in respect of those activities covered by the above certificate number.*



on behalf of SQA - J. Michael Hochschwender, CEO

The approval is subject to the company maintaining its system to the required standards which will be monitored by Smithers Quality Assessments, Inc., 121 S. Main St., Akron, Ohio 44308, USA





**United States Department of State**

*Bureau of Political-Military Affairs  
Directorate of Defense Trade Controls  
Washington, D.C. 20522-0112*

June 10, 2019

Kathleen M Kerber, President  
Kerber Sheet Metal Works Inc.  
104 Foss Way  
Troy, OH 45373  
KSM@KSMMETALFABRICATION.COM

**REGISTRANT CODE: M28740**  
**EXPIRATION DATE: 07/31/2020**

Reference: Manufacturer Registration Statement and Fee Submission

Dear Kathleen Kerber:

The Office of Defense Trade Controls Compliance received your registration statement and fee to register as a manufacturer. We have reviewed your registration statement and your registration code is M28740, which expires on 07/31/2020.

Any person who engages in the United States in the business of either manufacturing or exporting defense articles or furnishing defense services is required to register and keep that registration current with this office pursuant to the Arms Export Control Act (AECA) and the International Traffic in Arms Regulations (ITAR Part 122). Registration serves as a precondition to submitting an application for an export license or other approval from the Directorate of Defense Trade Controls (DDTC), or to use export exemptions. This registration does not satisfy the requirements for registering as a broker pursuant to ITAR Part 129.

As you are the senior officer empowered to sign the registration statement, we ask you to maintain records consistent with ITAR Section 122.5 regarding: 1) The key senior officer listed on the registration who will oversee the compliance program and be responsible for designating the direct employees who will serve as “empowered officials” at their place of employment, and 2) A list of qualified, direct employees who will serve as “empowered officials” by name, position, business unit, phone and fax numbers and email addresses. Please note that third parties (individuals who are not direct employees, such as consultants, subcontractors or outside counsel, for example) cannot serve as “empowered officials.”

ITAR Section 122.5 requires you to maintain records concerning your registration and the manufacture, acquisition and disposition of defense articles; the provision of defense services; and information on political contributions, fees, or commissions furnished or obtained, as required by ITAR Part 130. Records maintained shall be available at all times for inspection and copying by this office or by Customs officials. To maintain such records, managers,

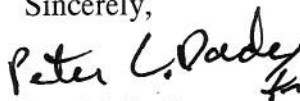
supervisors and employees need appropriate training on AECA and ITAR requirements and must understand the individual and organizational ramifications of failure to comply. Ramifications may include shipment delay and/or shipment seizure by Customs and Border Protection, loss of export privileges, or criminal charges.

You may refer to the DDTC website for a Compliance Guide at <http://www.pmddtc.state.gov/> and then click on the Compliance tab. The DDTC website also includes a copy of the ITAR, explanations of export licensing procedures, how to submit a license application, country sanctions, individuals/companies debarred by the Department of State, and other export matters. The website also includes procedures for requesting a commodity jurisdiction determination (ITAR Section 120.4) should you have questions on whether an article, services, or technical data is covered by the ITAR Part 121 (U.S. Munitions List).

Please include your registration code and the following statement on all registration correspondence to this office: "Under penalty according to federal law (22 CFR 127.2; 22 USC 2778; 18 USC 1001) I, [insert your name], as authorized by [name of company, if applicable] warrant the truth of the statements made herein." We recommend submitting for registration renewal well in advance to ensure this office receives the request up to 45 day before registration expiration. Your registration must be received at least 30 days before the expiration date. Registration must be current to apply for export licenses or other approvals, or to use export exemptions. Your registration is not current, for example, if you have not notified this office of any material change in the information contained in your Registration Statement (ITAR Section 122.4).

If you have any questions regarding registration, please contact us at (202) 663-1282 or [DDTCResponseTeam@state.gov](mailto:DDTCResponseTeam@state.gov).

Sincerely,

A handwritten signature in black ink that reads "Peter L. Cook" with a stylized flourish at the end.

Daniel Cook

Chief, Compliance, Registration & Enforcement